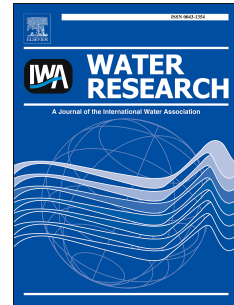


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Settling characteristics of nonspherical porous sludge flocs with nonhomogeneous mass distribution

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Abstract

The paper reports on the development of an advanced Lagrangian particle tracking model of sludge flocs that takes into account its nonspherical shape, the internal porosity and permeability, as well as the nonhomogeneous mass distribution. The floc shapes, sizes and free settling velocities are determined based on the experimental measurement of settling sludge flocs originating from a wastewater treatment plant. Based on the floc shape characterization, a prolate axisymmetric ellipsoid is selected as the modelled sludge particle. In order to determine the main particle characteristics, e.g. the internal porosity, the density and the flow permeability, a Lagrangian particle tracking model is developed based on Brenner's drag model for a prolate axisymmetric ellipsoid and a buoyancy force model for a porous particle. The model is implemented for numerical simulations of the free settling process. The obtained floc characteristics are presented in the form of a two-part polynomial fitting curve, which can be used

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to model floc characteristics. The values of settling velocities of flocs computed by the model show very good agreement with experimental results. Furthermore, as the internal structure of a floc is seldom uniform, the nonhomogeneous mass distribution is considered, influencing the rotational and translational motions of the settling flocs. The nonhomogeneous mass distribution is introduced into the floc settling model. The parametric analyses of different barycentre offsets and shear rates are performed, and their influences on the free settling velocity are evaluated. The presented modeling approach can also be applied to flocculent settling of alum and other flocs in drinking water treatment plants. The developed Lagrangian model is suitable for use as a point source within the framework of Eulerian flow computations, and is solved as a two-phase flow model with a suitable Computational Fluid Dynamics code.

Keywords: activated sludge, floc settling velocity, prolate spheroidal floc, non-homogenous porous floc, Lagrangian particle tracking model

1. Introduction

In a wastewater treatment plant the final stage consists of the sedimentation process performed in a sedimentation tank (Droste & Gehr, 2019). Due to the intense mixing in the aerobic phase of the plant operation, the sludge flocs are predominantly well distributed within the volume of the liquid phase entering the sedimentation tank. After entering, the sedimentation starts in the settling zone, where the process is characterised by the unhindered settling of the sludge flocs, i.e. without interaction with other flocs, in a dilute liquid-particle flow regime. The flocs then accumulate in the sludge zone, where the sludge floc

10 concentration increases and particle-particle interactions start to influence the
11 settling velocities of the flocs, with intermediate and dense liquid-particle flow
12 regimes (Crowe et al., 2011). In general, the sludge flocs having a settling
13 velocity larger than the critical particle are removed from the liquid entering
14 the outlet zone of the sedimentation tank. As the settling behaviour of the flocs
15 is influenced by the floc's physical properties and its interaction with the liquid
16 flow, it is extremely important to determine these parameters correctly.

17 The sedimentation velocity of a floc is influenced significantly by its diameter
18 and density, as predicted by the Stokes settling model, but can also depend on
19 the floc shape and porous internal structure, as flocs are agglomerates of many
20 primary particles (Vahedi & Gorczyca, 2014). The floc properties determine
21 the magnitude of interaction forces with the liquid phase, where the drag force
22 and the buoyancy force are the most important contributions. Determination
23 of the floc properties is frequently done by using a combined experimental and
24 computational approach, where the experiments provide information on the floc
25 sizes and settling velocities in a free settling environment, while computational
26 models serve as the basis for calculation of hydrodynamic properties, as well as
27 the floc densities.

28 Since the sludge particles are typically smaller than the smallest flow struc-
29 tures, the point particle approximation can be used when developing compu-
30 tational sedimentation models (Crowe et al., 2011). The majority of compu-
31 tational force models assume a spherical floc shape, which, thus, hydrodynam-
32 ically, is also the most studied one. The sphere models can be extended to

33 nonspherical shapes by introducing a shape factor, e.g. the sphericity of a par-
34 ticle (Hölzer & Sommerfeld, 2009; Mando & Rosendahl, 2010). While such an
35 approach is easy to implement and can lead to simple to use computational
36 models for the point particle approximation (Zastawny et al., 2012), it does not
37 provide much improvement in terms of a more detailed particle-fluid flow inter-
38 action model. As the floc shape is a result of an agglomeration process in the
39 active phase of the wastewater treatment, its volume is composed of numerous
40 smaller primary particles, forming a larger porous volume, consisting of primary
41 particles and the fluid. Due to the porous structure, the fluid can penetrate, and
42 the flow through the floc alters the floc hydrodynamic properties (Hsu & Hsieh,
43 2003). In order to establish a computationally lean model, the porosity and the
44 permeability of flocs are applied further in the floc forces and properties mod-
45 els. Furthermore, a floc force model that takes into account more details of the
46 floc shape can further improve the accuracy of sludge floc-fluid flow interaction
47 models.

48 The use of advanced computational techniques in the modelling of activated
49 sludge systems is still one of the major challenges in the Wastewater sector,
50 and the use of Computational Fluid Dynamics (CFD) to model different stages
51 in activated sludge systems is becoming one of the most advanced wastewater
52 engineering tools (Karpinska & Bridgeman, 2016). The CFD tool must incorpo-
53 rate a multiphase flow model, where the building blocks are either a Eulerian or
54 a Lagrangian two-phase flow model (Karpinska & Bridgeman, 2016; Xu et al.,
55 2017; Gao & Stenstrom, 2018). With regard to the secondary settling, which is

56 one of the most sensitive processes in activated sludge plants, the Lagrangian
57 model is the most accurate option for numerical simulation of floc trajectories.
58 As the dimensions of a typical settling tank are very large and the corresponding
59 computational grid for the CFD typically consists of elements much larger than
60 the size of a sludge floc, the point particle approximation, in combination with
61 the Lagrangian particle tracking, provides an accurate computational tool for
62 studying such systems. Also, detailed flow field computations by implementing
63 the Large Eddy Simulations (LES) (Al-Sammarræe et al., 2009) showed that
64 the smaller particles, (typically below $250\mu m$), are the critical ones, with the
65 tendency to exit the system with the effluent, a consequence of long sedimen-
66 tation times, influenced by the turbulent regions at the inflow and outflow of
67 a sedimentation basin. When dealing with nonspherical particles, tracking the
68 orientation of a nonspherical particle in a flow field is an important aspect of an
69 accurate two-phase flow computational model, as it determines the orientation
70 of the force vector acting on the particle (Gunes et al., 2008). The orientation is
71 influenced by the particle shape and porous structure (Masoud et al., 2013), but
72 could also be influenced by a nonhomogeneous mass distribution within the par-
73 ticle, producing an additional gravitational torque acting on the particle (Croze
74 et al., 2013). In the case of sludge flocs, since the floc agglomeration process is a
75 stochastic one, a nonhomogeneous mass distribution is likely to occur (Vahedi &
76 Gorczyca, 2014). Although the majority of CFD codes include such two-phase
77 models, the particle force models available are only applicable to solid spheres.
78 In recent years, a lot of research was devoted to the development and imple-

79 mentation of nonspherical particle two-phase CFD models (Zhang et al., 2001;
80 Mortensen et al., 2008; Soldati & Marchioli, 2009; Liu et al., 2009; Marchioli
81 et al., 2010), where the ellipsoidal shape was used predominantly as a generic
82 shape, applicable to a wide range of practical two-phase problems (Mando &
83 Rosendahl, 2010; Kleinstreuer & Feng, 2013).

84 The Lagrangian model, in its simplified form, is also the model of choice
85 when determination of the floc properties based on experimental sedimenta-
86 tion studies is performed, as reported in the experimental analysis of sludge
87 floc sedimentation characteristics (Žajdela et al., 2008), and the consequent de-
88 termination of the floc properties based on the free settling model of porous
89 and permeable sludge flocs (Lee et al., 1996; Hriberšek et al., 2011). In or-
90 der to account for the nonspherical floc shape and its porous internal structure
91 that influences its hydraulic resistance in the flow field, a dedicated Lagrangian
92 particle model for the sludge floc case could prove beneficial in increasing the
93 accuracy of dispersed two-phase flow CFD computational models, especially if
94 it would also be applicable as a computational framework for the derivation of
95 sludge floc properties based on experimental sedimentation data. The present
96 work reports on the development of such a model.

97 The paper is organised as follows. Section 2 describes the key sedimentation
98 characteristics of sludge flocs, followed by Section 3 where the development of
99 a Lagrangian computational sedimentation model is elaborated. Based on the
100 developed Lagrangian model two computational algorithms are proposed, the
101 first one suitable for use as a computational tool for the determination of floc

102 porosities and corresponding parameters, and the second one for studying the
103 effects of nonhomogeneous mass distribution on the sludge floc sedimentation
104 dynamics. Section 5 reports on the results of the implementation of both com-
105 putational algorithms, which are based on available experimental data on 306
106 sludge flocs originating from a municipal wastewater plant in Slovenia. Section 6
107 compares the performance of the developed models with several established hard
108 sphere models, to understand what and how much is improved by the present
109 model by comparing it with other models.

110

111 **2. Sedimentation characteristics of activated sludge flocs**

112 Activated sludge flocs are formed as aggregates of suspended solids, micro
113 flocs and primary particles in wastewater, and, as such, they are not solid bodies,
114 but exhibit an open porous internal structure, allowing the fluid phase to flow
115 through the floc. Although the complex internal structure of a floc presents a
116 significant resistance to the fluid flow, the flow through the floc alters the flow
117 field in the wake, effectively reducing the drag force. This, in turn, allows the
118 floc to reach higher settling velocities, as in the case of solid particles of the
119 same shape, size and density.

120 The free settling test is used frequently for the determination of the porous
121 particle density, porosity and hydraulic permeability, which is done through a
122 simple force balance equation. In Žajdela et al. (2008), a free settling model was
123 designed based on the Stokes model of sedimentation, where the Chien's model

124 (Chien, 1994) was used for the drag coefficient of irregularly shaped particles.
125 Although the applied Chien's drag model is easy to use, with the only additional
126 parameter being the particle sphericity (Ψ), the model could be improved for
127 the case of a sludge floc with more detailed particle shape cases.

128 In general, settling velocities of porous sludge flocs are relatively low, re-
129 sulting in low particle Reynolds number values. This is especially true in the
130 case of smaller porous flocs (approx. below 1 mm), where particle Reynolds
131 number values are below 1. In such a case, the Stokes flow regime is valid and
132 the Stokes drag model could be applied. For the case of 306 flocs (Žajdela et al.,
133 2008), originating from a primarily municipal wastewater plant, experimental
134 analysis of the settling velocities was carried out, with the results of the settling
135 velocities depicted in Fig. 1. From the same data, presented in the form of
136 the particle Reynolds number values ($Re_k = \Psi d_k v_k / \nu$ with d_k the equivalent
137 spherical diameter, v_k the settling velocity, and ν the fluid kinematic viscosity)
138 in Fig. 2, it is evident that the vast majority of particles exhibited Reynolds
139 number values lower than 1. Therefore, the Stokes drag model can be applied
140 in the derivation of the floc sedimentation model.

141 A more realistic drag force model should also include the main geometric pa-
142 rameters of the floc shape. Whereas larger flocs have a typical fractal structure
143 (Gorczyca & Ganczarczyk, 2002), the structure of the smaller flocs resembles
144 more geometrically regular shapes. In the works of Žajdela et al. (2008) and
145 Hriberšek et al. (2011), geometric analysis of stationary flocs, as well as settling
146 flocs, led to the conclusion that the sludge flocs could be described approxi-

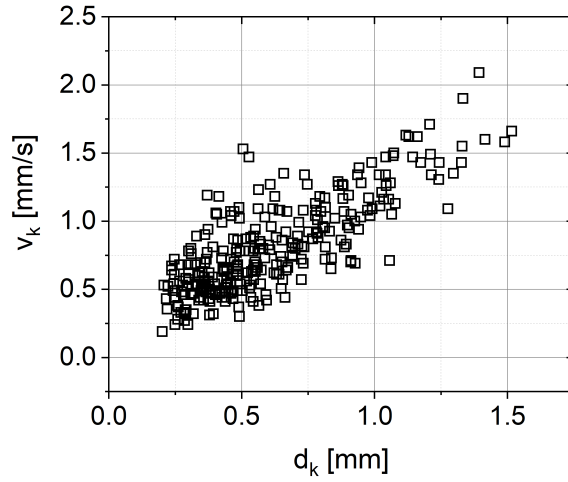


Figure 1: Experimentally measured settling velocities of sludge flocs as a function of floc diameter.

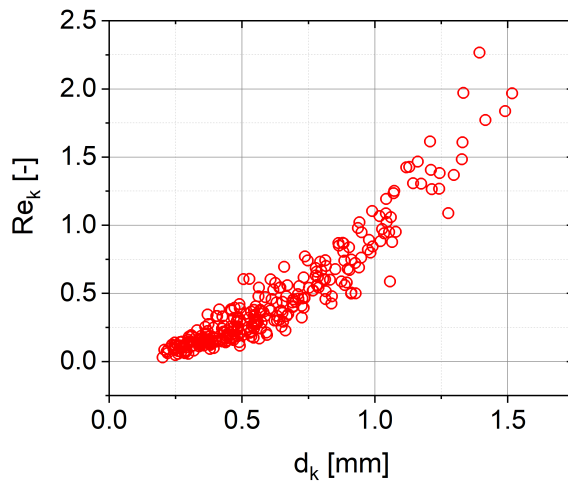


Figure 2: Experimental data on particle Reynolds number values of sludge flocs as a function of floc diameter.

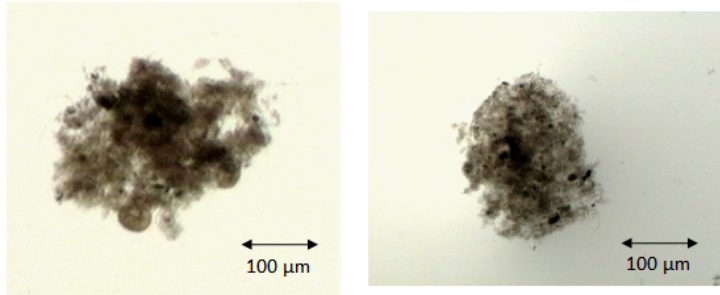


Figure 3: Shape of the studied porous flocs.

147 mately as cuboids, with two shorter axes of almost the same size. For the cited
 148 case, the sphericity, defined as the ratio of a sphere surface area to the surface
 149 area of a floc with the same volume, was determined to be 0.796. The cuboidal
 150 approximation could be improved further by considering the ellipsoidal shape
 151 of the studied porous flocs, as shown in Fig. 3 originating from experiments of
 152 Žajdela et al. (2008), of the same volume. The general shape of the smallest
 153 flocs could, therefore, be described as a prolate spheroid. A schematic diagram
 154 of a prolate spheroidal particle (or axisymmetric ellipsoidal particle) with semi-
 155 minor axis a and semi-major axis b , and, thus, with aspect ratio $\lambda = b/a \geq 1$,
 156 and the associated reference frame used in the computational model, are illus-
 157 trated in Fig. 4. The observed axis ratio ($\lambda = b/a$) of the ellipsoids ranged
 158 between 1.29 and 1.47. For the sake of simplicity, the average value of $\lambda = 1.38$
 159 was adopted in our case, but the sensitivity of the results on the lower and upper
 160 limit values of the aspect ratio was also checked.

161 Low settling velocities of sludge flocs, resulting in low values of the Stokes
 162 number, defined as $St_k = \frac{\rho_k v_k d_k}{18 \rho_f \nu}$ with ρ_f the fluid density, and in the range of

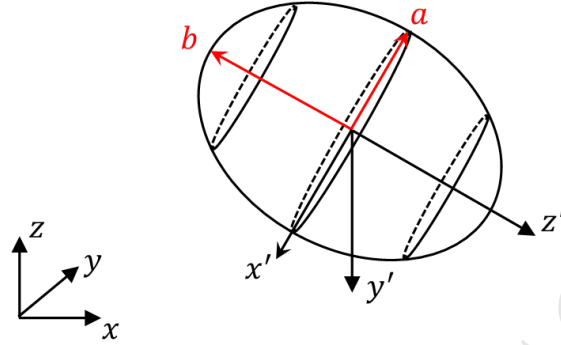


Figure 4: Schematic of a prolate spheroidal floc.

163 [0.004, 0.18] (Hriberšek et al., 2011), also indicate that the velocity of such a
 164 particle would not differ much from the fluid flow velocity. In a settling tank,
 165 the flow field is governed by the inflow and outflow rates and positions, or, in
 166 the case of a closed system, from buoyancy driven currents, and although flow
 167 velocities in these systems are low and settling is facilitated, the particles still
 168 interact with the local fluid flow. Therefore, accurate prediction of floc trajec-
 169 tories could be very beneficial when designing the sedimentation process in a
 170 settling tank.

171

172 3. Computational model of ellipsoidal porous floc sedimentation

173 In general, the trajectory of a particle is the result of its interaction with the
 174 fluid flow. Local values of velocity, vorticity and pressure in the fluid phase and
 175 their difference to the state of the particle, determine the transport phenomena
 176 between the dispersed and the fluid phases. When the exact particle trajec-

177 ries are of major importance, as is the case in sedimentation analysis, particle
 178 transport in the framework of CFD is computed by applying Lagrangian based
 179 particle tracking.

180 In the following, the computational model of sedimentation is derived, based
 181 on the Stokes drag model for an axisymmetric ellipsoid case (i.e. prolate spheroidal
 182 porous flocs), taking into account the translational, as well as rotational dy-
 183 namics, of the floc. As the floc is ellipsoidal, its orientation regarding the flow
 184 velocity is important for the accurate computation of the hydrodynamic force,
 185 a case that is not important when dealing with the spherical approximation of
 186 the floc shape.

187 The sedimentation model used for the calculation of the porous floc prop-
 188 erties can be derived from the general model of Lagrangian particle tracking,
 189 which consists of the translational kinematics relation

$$\frac{d\mathbf{r}}{dt} = \mathbf{v}, \quad (1)$$

190 the translational momentum conservation equation

$$m_p \frac{d\mathbf{v}}{dt} = \mathbf{F}_D + \mathbf{F}_G, \quad (2)$$

191 and the angular momentum conservation equation

$$\frac{d}{dt} [\mathbf{I}_p \boldsymbol{\omega}] = \mathbf{I}_p \frac{d\boldsymbol{\omega}}{dt} + \boldsymbol{\omega} \times [\mathbf{I}_p \boldsymbol{\omega}] = \mathbf{T}, \quad (3)$$

192 where m_p is the mass of the particle, \mathbf{r} , \mathbf{v} , $\boldsymbol{\omega}$, \mathbf{I}_p are the barycentre position
 193 vector, the translational velocity, the angular velocity, and the inertia tensor,
 194 respectively, of the particle, and \mathbf{F}_D , \mathbf{F}_G , \mathbf{T} are the drag force, the gravity force

195 reduced by buoyancy, and the applied torque, respectively, acting on the parti-
 196 cle. The angular kinematics relation is accounted for by the time evolution of
 197 the Euler parameters (Cui et al., 2018a,b). In order to close the system of equa-
 198 tions, models for forces and torques acting on a porous floc have to be specified.

199

200 3.1. Drag and buoyancy models for prolate spheroidal porous flocs

201 Dedicated models of forces have to be selected in order to account for the
 202 prolate spheroidal floc shape. Brenner (1964) derived the hydrodynamic drag
 203 force acting on an axisymmetric ellipsoidal particle with semi-minor axis a in
 204 the Stokes flow regime:

$$\mathbf{F}_D = \pi a \rho_f \nu \mathbf{K} [\mathbf{u} - \mathbf{v}], \quad (4)$$

205 where \mathbf{u} , \mathbf{v} , \mathbf{F}_D , \mathbf{K} are the corresponding coefficient matrices of the fluid velocity
 206 \mathbf{u} , the floc velocity \mathbf{v} , the drag force acting on the floc \mathbf{F}_D , and the (geometric)
 207 resistance tensor \mathbf{K} of the floc, respectively. Only the diagonal components of
 208 the coefficient matrix of the resistance tensor in the particle frame of reference
 209 $[x', y', z']$, i.e. \mathbf{K}' , are non-zero; these are functions of the floc aspect ratio λ
 210 and can be written as

$$K'_{x'x'} = K'_{y'y'} = \frac{16[\lambda^2 - 1]^{3/2}}{[2\lambda^2 - 3] \ln(\lambda + \sqrt{\lambda^2 - 1}) + \lambda\sqrt{\lambda^2 - 1}} \quad (5)$$

211

$$K'_{z'z'} = \frac{8[\lambda^2 - 1]^{3/2}}{[2\lambda^2 - 1] \ln(\lambda + \sqrt{\lambda^2 - 1}) - \lambda\sqrt{\lambda^2 - 1}}. \quad (6)$$

212 The spherical particle limit renders $\lim_{\lambda \rightarrow 1} \mathbf{K}' = 6 \mathbf{I}$, where \mathbf{I} is the identity
 213 matrix. In order to build the relationship between \mathbf{K} and \mathbf{K}' , the rotation

214 matrix, \mathbf{V} , between the inertia and the particle frame of reference is used:

$$\mathbf{K} = \mathbf{V}^T \mathbf{K}' \mathbf{V}. \quad (7)$$

215 The rotation matrix is written in terms of the Euler parameters, and can be
216 found in Cui et al. (2018a).

217

218 In order to account for the porosity of the floc, the ratio of the hydrodynamic
219 drag between a permeable and an impermeable flocs, i.e. Ω , is introduced by
220 using the Brinkman extension of Darcy's law (Huang, 1993), with Ω defined as

$$\Omega = \frac{2\beta^2 [1 - \tanh(\beta)/\beta]}{2\beta^2 + 3 [1 - \tanh(\beta)/\beta]}, \quad (8)$$

221 with the permeability factor

$$\beta = \frac{d_k}{2\sqrt{k}}, \quad (9)$$

222 and the hydraulic permeability of the floc accounted for by the Brinkman model

$$k = \frac{d_p}{72} \left[3 + \frac{4}{1 - \epsilon} - 3\sqrt{\frac{8}{1 - \epsilon} - 3} \right], \quad (10)$$

223 where ϵ and d_p are the porosity of the floc and the primary particle diameter.

224 The density of the floc ρ_k is related to the density of primary particles ρ_p ,

225 constituting the floc, and the density of the fluid ρ_f by the relation

$$\rho_k = [1 - \epsilon] [\rho_p - \rho_f] + \rho_f. \quad (11)$$

226 The values of $\rho_p = 1059\text{kg/m}^3$ and $\rho_f = 998\text{kg/m}^3$ are used in the present
227 study (Hriberšek et al., 2011).

228

229 The final form of the drag model for the prolate spheroidal porous floc is

$$\mathbf{F}_D = \pi a \rho_f \nu \Omega \mathbf{K} [\mathbf{u} - \mathbf{v}], \quad (12)$$

230 and the gravity force reduced by buoyancy is

$$\mathbf{F}_G = \mathbf{g} V_p [1 - \epsilon] [\rho_p - \rho_f], \quad (13)$$

231 where $V_p = 4\pi\lambda a^3/3$ is the volume of the prolate spheroid, \mathbf{F}_G and \mathbf{g} are the
 232 corresponding coefficient matrices of the gravity force reduced by buoyancy \mathbf{F}_G
 233 and the gravity acceleration \mathbf{g} , respectively.

234 3.2. Sedimentation of a floc with nonhomogeneous density distribution

235 During the floc agglomeration process, a variety of primary particles having
 236 different sizes and also different densities aggregate into flocculi, micro flocs and,
 237 finally, floc aggregates, leading to nonhomogeneous mass distribution (Vahedi
 238 & Gorczyca, 2014). The nonhomogeneous mass distribution gives rise to grav-
 239 itational torque, acting on the particle, that, additionally, influences the floc
 240 rotational dynamics and, hence, its orientation regarding the flow direction. In
 241 the following, the computational framework is described by taking into account
 242 the nonhomogenous mass distribution.

243 The applied torques acting on a prolate spheroidal porous floc, Eq. (3),
 244 can be decomposed into the gravitational torque \mathbf{T}_G and Jeffery's torque \mathbf{T}_J
 245 (Jeffery, 1922), i.e.

$$\mathbf{T} = \mathbf{T}_G + \mathbf{T}_J. \quad (14)$$

246 In order to calculate the inertia tensor and the gravitational torque, a separate
 247 computational analysis is needed in the case of a general density distribution

248 within the particle volume. This analysis could be interpreted as a particle
 249 pre-processor, which needs to be applied before the sedimentation analysis is
 250 performed, giving as a result the barycentre location and the inertia tensor of
 251 the particle. In the analysis, the floc is decomposed into many cubic grid cells,
 252 where within a grid cell the density is assumed to be homogeneous. Therefore,
 253 the inertia tensor can be calculated by summing up the local inertia tensors for
 254 all grid cells, i.e.

$$\mathbf{I}'_p = \sum_{i=1}^N m_i \begin{bmatrix} y_i'^2 + z_i'^2 & -x_i' y_i' & -x_i' z_i' \\ -x_i' y_i' & z_i'^2 + x_i'^2 & -y_i' z_i' \\ -x_i' z_i' & -y_i' z_i' & x_i'^2 + y_i'^2 \end{bmatrix}, \quad (15)$$

255 where N is the total number of grid cells, m_i is the mass of each grid cell, x_i' ,
 256 y_i' , z_i' are the coordinates of the centre of the i_{th} grid cell in the particle frame
 257 of reference, and \mathbf{I}'_p is the coefficient matrix of the inertia tensor of the particle
 258 in the particle frame of reference.

259 In the case of a general density distribution within the floc, the floc volume
 260 must be discretised by a suitable number of grid cells with variable local mass
 261 values, leading to

$$\mathbf{B}'_C = \frac{\sum_{i=1}^N m_i [x_i', y_i', z_i']^T}{\sum_{i=1}^N m_i}, \quad (16)$$

262 where \mathbf{B}'_C is the coefficient (column) matrix of the barycentre \mathbf{B}_C in the particle
 263 frame of reference. Since the calculation of particle inertia tensor and barycen-
 264 tre position is only performed at the beginning of the simulation, it could be
 265 separated from the actual execution of computational steps of the proposed al-
 266 gorithms. In such a case, even at a high number of elements used for discretising

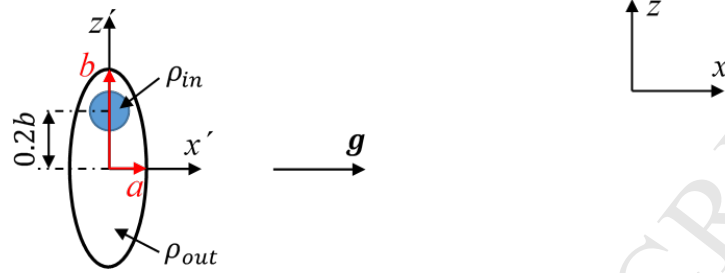


Figure 5: Schematic diagram of a particle with a two-zone density variation with its position regarding the free settling direction.

267 the particle interior, it would not present a considerable computational expense
 268 as these two parameters (Eqs. (15) & (16)) are calculated only once. In the
 269 present study, we use a simplified slug floc formulation, i.e. a small heavy sphere
 270 sits inside a large light prolate spheroid, as depicted in Fig. 5, in order to analyse
 271 the influence of nonhomogeneous mass distribution on the settling characteris-
 272 tics. In this simplified case, the analytical solution to calculate the barycenter
 273 is

$$\mathbf{B}'_C = \frac{1}{1 + \frac{\lambda a^3}{r_{in}^3} \left[\frac{\rho_{in}}{\rho_{out}} - 1 \right]} \mathbf{Pos}'_{in,out}, \quad (17)$$

274 where r_{in} and ρ_{in} are the radius and the density of the inner spherical particle,
 275 ρ_{out} is the density of the outer prolate spheroid, and $\mathbf{Pos}'_{in,out}$ is the corre-
 276 sponding coefficient (column) matrix of the position vector of the inner spheri-
 277 cal particle with respect to the geometric centre of the outer prolate spheroid
 278 at the particle frame of reference. Similarly, the coefficient matrix of the inertia

279 tensor in the particle frame of reference reads as

$$\mathbf{I}'_p = \begin{bmatrix} \frac{1}{5}m_{out}a^2[1 + \lambda^2] + \frac{2}{5}m_{in}r_{in}^2 & 0 & 0 \\ 0 & \frac{1}{5}m_{out}a^2[1 + \lambda^2] + \frac{2}{5}m_{in}r_{in}^2 & 0 \\ 0 & 0 & \frac{2}{5}m_{out}a^2 + \frac{2}{5}m_{in}r_{in}^2 \end{bmatrix} - m_{in}\mathbf{Pos}'_{in,out}\mathbf{Pos}'_{in,out}{}^T, \quad (18)$$

280 with $m_{in} = 4\pi r_{in}^3[\rho_{in} - \rho_{out}]/3$ and $m_{out} = 4\pi\lambda a^3\rho_{out}/3$.

281 Finally, the gravitational torque with regard to the origin of the particle
282 frame of reference can be expressed as

$$\mathbf{T}_G = \mathbf{B}_C \times \mathbf{F}_G. \quad (19)$$

283 Since the Jeffery torque is defined in the particle frame of reference (Jeffery,
284 1922; Cui et al., 2018a), it is necessary to transform the coefficient (column)
285 matrix of the gravity force reduced by the buoyancy from the inertia frame of
286 reference to the particle frame of reference by using the rotation matrix \mathbf{V}

$$\mathbf{F}'_G = \mathbf{V}\mathbf{F}_G, \quad (20)$$

287 where \mathbf{F}_G and \mathbf{F}'_G are the coefficient (column) matrices of the gravity force,
288 reduced by the buoyancy in the inertia frame of reference and in the particle
289 frame of reference, respectively.

290 **4. Computational algorithms for the determination of sludge floc** 291 **characteristics**

292 *Algorithm 1* summarizes the computational steps needed for the determi-
293 nation of the unknown floc parameters ϵ, ρ_k, k and Ω . As the latter three all

294 depend on the porosity value, we are basically dealing with a nonlinear system
 295 of equations for the unknown porosity of the floc, which can be solved by using
 296 an iterative method.

297 In our case, derivation of the sludge floc porosity is based on the known
 298 free settling velocities of flocs of different sizes (see Fig. 1), as well as on the
 299 pre-defined floc orientation, which is the orientation of the maximum drag force
 300 where the floc has its major axis b pointing in the z -direction, i.e. perpendicular
 301 to the settling velocity direction. This orientation is chosen according to findings
 302 of Feng et al. (1994) and Ardekani et al. (2016), who showed that a spheroidal
 303 particle eventually falls with its major axis perpendicular to the gravity direction
 304 independent of its initial orientation.

305 *Algorithm 1.*

- 306 1. Set Eq. (2) for the case of terminal velocity: $d\mathbf{v}/dt = \mathbf{0}$.
- 307 2. Read the free settling velocity \mathbf{v}_s and the floc equivalent circular diameter
 308 d_k from the database (Hriberšek et al., 2011), set $\mathbf{v} = \mathbf{v}_s$. Calculate the
 309 prolate spheroid short axis as $a = d_k/(2\sqrt[3]{\lambda})$.
- 310 3. Set the initial guess for the porosity value: $\epsilon = \epsilon_0$.
- 311 4. Calculate the drag model for the prolate spheroid (12) with:
 - 312 (a) Brinkman model of hydraulic permeability, Eq. (10).
 - 313 (b) The permeability factor, Eq. (9).
 - 314 (c) Ω , Eq. (8).
- 315 5. From the gravity force expression (13) calculate the updated value of
 316 porosity ϵ_{up} .

- 317 6. Check for convergence: If $[\epsilon_{up} - \epsilon]/\epsilon > 10^{-3}$ perform another iteration -
 318 go to Step 4.
- 319 7. Store the established floc parameters: ϵ , ρ_k , k and Ω .

320 *Algorithm 1* is directly applicable to flocs with homogeneous mass distri-
 321 bution, which is also the assumption used in the free settling experiment of
 322 Hriberšek et al. (2011).

323 In order to determine the impact of the nonhomogeneous mass distribu-
 324 tion on the floc settling characteristics, additional computational cases were
 325 performed with nonhomogeneous mass distribution. To simplify the mass dis-
 326 tribution description, a model with two homogeneous zones of different densities
 327 was set up. The smaller inner zone has a higher density than the surrounding
 328 larger zone. As shown in Fig. 5, the inner zone locates in the $+z'$ axis of the
 329 ellipsoid. The distance between the inner zone centre and the ellipsoid centre
 330 is $0.2b$. The inner zone radius is half of the ellipsoid short radius, i.e. $0.5a$.
 331 If the density ratio $\rho_{in}/\rho_{out} = 1$, the floc has a homogeneous density distribu-
 332 tion. If $\rho_{in}/\rho_{out} > 1$, the floc has a nonhomogeneous density distribution. It is
 333 important to note that, in the latter case, the overall mass of the floc remains
 334 unchanged with regard to the homogeneous mass distribution case. Different
 335 barycentre locations of the particle can be produced by varying the density
 336 ratio. For the case of the particle in Fig. 5, the position vector of the inner
 337 spherical particle at the particle frame of reference is $\mathbf{Pos}'_{in,out} = [0, 0, 0.2b]^T$
 338 and the $r_{in} = 0.5a$.

339 As mentioned above, the floc porosity values were adopted from the com-

340 putational results of *Algorithm 1*. The *Algorithm 2* was designed in order to
 341 establish the sensitivity of the settling characteristics of a floc with nonhomo-
 342 geneous mass distribution. The main aim of the *Algorithm 2* is to compute the
 343 time evolution of the positions and translational and rotational velocities of the
 344 prolate spheroidal porous floc, which would then serve as a basis for comparison
 345 with the sedimentation characteristics of homogeneous flocs.

346 *Algorithm 2.*

- 347 1. Set the floc properties: d_k , λ , ϵ , Ω , ρ_{in}/ρ_{out} , and the offset distance.
- 348 2. Calculate the prolate spheroid short axis as $a = d_k/(2\sqrt[3]{\lambda})$.
- 349 3. Calculate the coefficient matrix of the inertia tensor of the prolate spheroid
 350 in the particle frame of reference \mathbf{I}'_p .
- 351 4. Set the initial velocity of the particle.
- 352 5. Start the time marching loop.
- 353 6. Solve the following set of equations:
 - 354 (a) Translational momentum conservation, Eq. (2).
 - 355 (b) Translational kinematics relation, Eq. (1).
 - 356 (c) Angular momentum conservation, Eq. (3) and angular kinematics
 357 relation (Cui et al., 2018a).
- 358 7. Update the particle velocity, angular velocity, position and angular posi-
 359 tion.
- 360 8. End if the target time was reached.
- 361 9. Add additional time step and perform another iteration - go to Step 6.

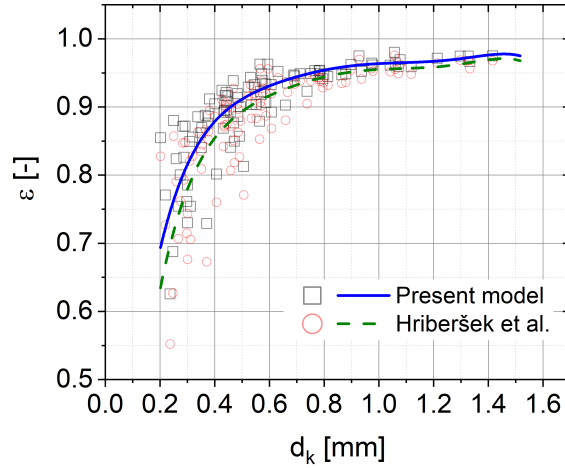


Figure 6: Porosities of sludge flocs as a function of d_k .

362 5. Simulation Results

363 5.1. Sedimentation characteristics of a floc with homogeneous density distribu- 364 tion

365 In the first part of this section, the computed porous floc porosities, obtained
366 by applying *Algorithm 1* on all of the 306 flocs, are depicted in Fig. 6. For easier
367 viewing, two thirds of data points in Figs. 6 - 9 were removed from the plots.

368 From the results, it is evident that the porosity varies in the range of 0.6
369 for the smallest flocs to 0.96 for the largest flocs. In order to gain additional
370 insights and a comparison with the results of the previous study (Hriberšek
371 et al., 2011), the calculated floc porosities are also presented as a function of
372 the particle Reynolds number. As in the present case, the particles are porous
373 flocs with ellipsoidal shape, the following form of the particle Reynolds number,

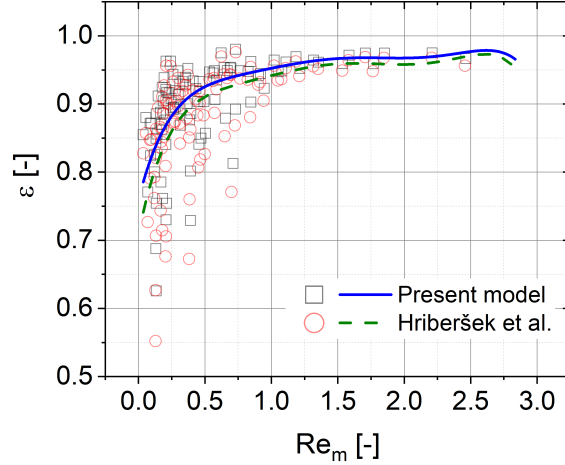


Figure 7: Porosities of sludge flocs as a function of Re_m .

374 denoted by Re_m , is used:

$$Re_m = \frac{d_k \sqrt[3]{\epsilon} v_k \Omega}{\nu} \quad (21)$$

375 From the relation between the porosity and Re_m , as shown in Fig. 7, it is evident
 376 that the vast majority of flocs have Re_m values well below 1, and the maximum
 377 values do not exceed 3. This indicates that the applied drag force model of
 378 Brenner (1964), see Eq. (12), which is based on the same assumptions as the
 379 Stokes drag, is valid for the considered case.

380 When comparing the present results with the results of Hriberšek et al.
 381 (2011), as shown in Figs. 6 and 7, the results indicate, qualitatively, a good
 382 agreement between both studies. However, the present results show an increase
 383 in the computed porosity values, which is more evident (around 7%) in the lower
 384 Re_m range than in the upper Re_m range (around 1%). This also leads to higher

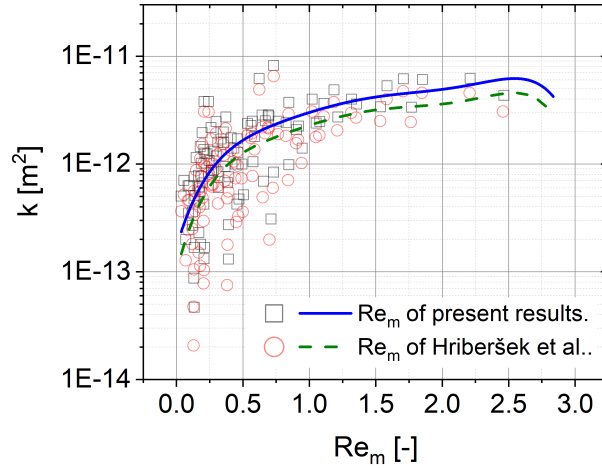


Figure 8: Permeabilities of sludge flocs as a function of Re_m .

385 values of computed permeabilities, as can be concluded from Fig. 8, although
 386 the permeability still remains roughly in the same order of magnitude, which is
 387 comparable to studies of other authors (Lee et al., 1996; Chu et al., 2005).

388 The calculated values of hydraulic permeabilities and the corresponding val-
 389 ues of the parameter Ω (see Fig. 9) indicate the fact that the fluid flow through
 390 the floc is very weak, and, hence, the overall drag force on a floc does not dif-
 391 fer much from the non-porous case. Nevertheless, when numerically tracking
 392 a particle/floc in a flow field for a long computational time, small differences
 393 can alter the computed trajectories significantly. Also, the obtained data on
 394 floc porosities are important, as the flocs do not only exchange momentum with
 395 the fluid, but also exchange mass due to biological reactions, where the internal
 396 surface area of a floc, which correlates with the value of the porosity, plays a

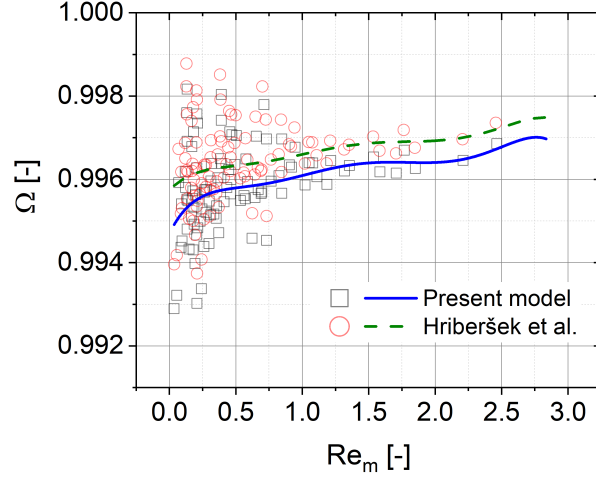


Figure 9: The ratio of the hydrodynamic drag of permeable to impermeable sludge flocs as a function of Re_m .

397 major role.

398 In order to build a model that could be used in the CFD context, a poly-
 399 nomial data fitting of results was performed, derived under the assumption of
 400 a homogeneous density distribution for all particles,. As is evident from the
 401 results in Fig. 6, there are two distinct dependencies of $\epsilon - d_k$, one for the larger
 402 floc equivalent diameters and the other for the smaller equivalent floc diameters,
 403 therefore, a two-part polynomial fitting was derived based on the form

$$\epsilon(d_k) = c_0 + c_1 d_k + c_2 d_k^2 + c_3 d_k^3 + c_4 d_k^4 + c_5 d_k^5 + c_6 d_k^6 \quad (22)$$

404 For the range of $d_k \leq 1 \text{ mm}$ a polynomial of the 6th order, and for the range
 405 of $d_k > 1 \text{ mm}$ a polynomial of the 2th order, were fitted, with corresponding
 406 values of coefficients for all the cases listed in the Appendix, Table 2.

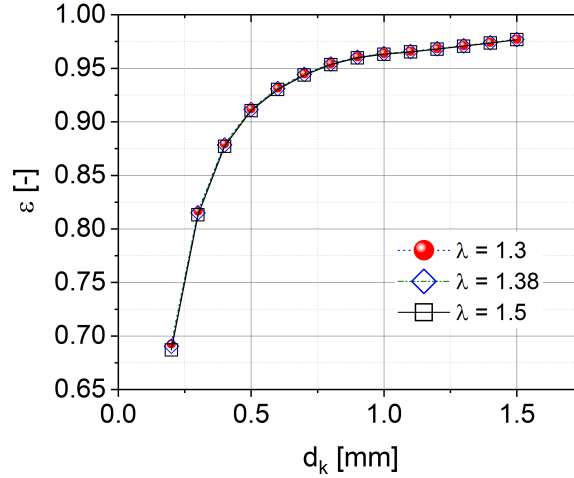


Figure 10: Impact of different aspect ratios on computational results of sludge flocs porosities.

407 As the application of the *Algorithm 1* was based on the assumption of a fixed
 408 ellipsoidal semi-axis ratio λ , a sensitivity study was performed of the results
 409 regarding the change of λ . Here, the lower and upper limits of the established
 410 experimental data on the floc dimensions were used, namely $\lambda = 1.3$ and $\lambda = 1.5$.
 411 Evidently, as shown in Fig. 10, the computed values of porosities for different
 412 aspect ratios of ellipsoidal flocs show that the change in the aspect ratio does
 413 not lead to different values of porosities, at least not for the targeted range of
 414 aspect ratios.

415 Finally, as in the derivation of the sludge floc porosity, the orientation of a
 416 floc was with its major axis b pointing in the z -direction, i.e. perpendicular to the
 417 settling velocity direction, which is the stable floc orientation with maximum
 418 flow resistance, the effect of the other significant orientation, with the long

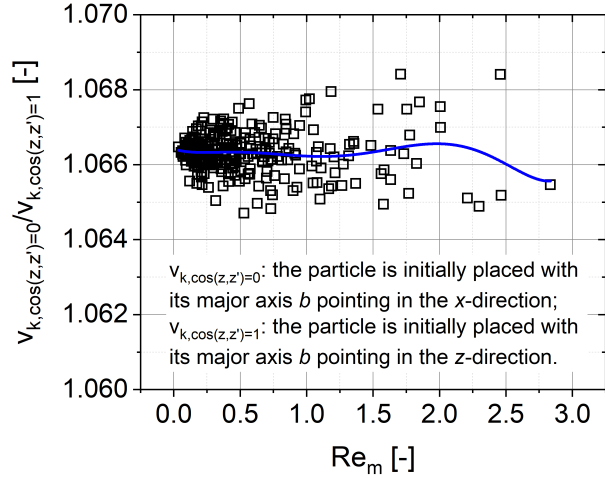


Figure 11: Ratio of settling velocities of sludge flocs with $\lambda = 1.38$ for two different initial orientations as a function of Re_m .

419 axis pointing in the direction of the gravity, on the sedimentation velocity was
 420 studied, with results depicted in Fig. 11. Although in all the considered floc
 421 cases (i.e. 306 flocs) each floc had its own distinct porosity value, the ratios of
 422 the computed sedimentation velocities for the two distinct orientation cases are
 423 in a very narrow range between 1.064 and 1.068. The difference of approx. 6%
 424 in sedimentation velocity between different orientations for the selected model
 425 floc with $\lambda = 1.38$ can be due to the very long retention times of several hours
 426 in a sedimentation tank, leading to a larger difference in computational results
 427 for particle trajectories with respect to spherical porous particles, and, hence, to
 428 differences in results of calculated separation efficiency of a secondary clarifier.

429 *5.2. Sedimentation characteristics of a flocc with nonhomogeneous density dis-*
430 *tribution*

431 Nonhomogeneous mass distribution is an inherent result of the flocc-forming
432 process (Vahedi & Gorczyca, 2014), and a closer look at the different intensi-
433 ties of the grey areas in the porous floccs in Fig. 3 confirms this fact. As the
434 flocc images of Fig. 3 were taken using an AxioCam MRc (D) high-resolution
435 microscopic camera offering a good spatial resolution, in the experimental sed-
436 imentation study of Hriberšek et al. (2011) a Nikon Hi Sence camera was used
437 with a much lower resolution. It allowed estimation of the basic flocc shapes, but,
438 from these results, one could not obtain the data that could facilitate detailed
439 information on the mass nonhomogeneity of each sedimenting flocc. Neverthe-
440 less, in order to validate the effect of nonhomogeneous mass distribution on the
441 sedimentation behaviour of a flocc, based on available flocc images, a simplified
442 model of the flocc nonhomogeneous mass distribution was given, as depicted in
443 Fig. 5. The modelled flocc consists of a dense spherical zone (ρ_{in}) and a sparse
444 zone (ρ_{out}) of the remaining part of the flocc. The distance between the centre
445 of the dense zone and the flocc geometrical centre (i.e. offset), as well as the
446 density ratio between the dense and the sparse zones, are now the parameters
447 which have influence on the sedimentation characteristics, as reported in this
448 section.

449 With the known local mass distribution within the flocc the corresponding
450 barycentre offset and inertia tensor could be calculated easily using Eqs. (15)
451 and (16). However, this distribution is very difficult to determine experimen-

452 tally, therefore, the following approximation was applied. As the floc formation
453 is a stochastic process, one cannot expect that the barycentre offset should be
454 very large, and only an estimation of this value could be made at this stage of
455 the research. The position of the denser zone was, therefore, shifted from the
456 centre of the ellipsoid in the z' by the distance of 20% of the longer half axis,
457 which resulted in an additional action of the gravitational torque that would
458 otherwise not act on the particle. With this set-up, the study of the additional
459 gravitational torque on the particle translational and rotational dynamics could
460 be made.

461 As a modelled floc, a floc was selected with $d_k = 0.29 \text{ mm}$ and the corre-
462 sponding settling velocity of $v_k = 0.58 \text{ mm/s}$. The calculated porosity of the
463 modelled floc is uniform, and has a value of $\epsilon = 0.7843$. If not reported oth-
464 erwise, the default offset is $0.2b$. The application of the particle preprocessor,
465 described in Section 3.2, gives data on the inertia tensor and on the position of
466 the barycentre of the floc, which is shown in Fig. 12.

467 In all simulations, the floc was initially at rest with its major axis b pointing
468 in the z -direction as shown in Fig. 5. In Figs. 13 and 14 the effect is presented of
469 different density ratios on the free settling behaviour of the floc. As can be ob-
470 served from Fig. 13, the shift in the barycentre and the additional gravitational
471 torque gives rise to the floc rotation, which is stronger with increasing density
472 ratio. The rotation changes the orientation of the floc and, hence, the drag force.
473 The floc tends to align its long axis with the direction of the settling velocity,
474 whereby the gravitational torque damps to zero. At this orientation angle, the

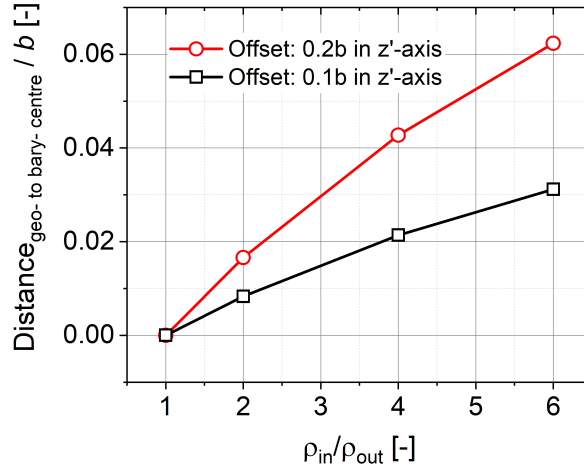


Figure 12: The relationship between the density ratio and the distance between the geometric centre and the barycentre for different offsets of inner particle centre.

475 frontal area of the floc is the minimum, leading to an increase of the free settling
 476 velocity (see Fig. 14), which has the same value for both nonhomogeneous test
 477 cases.

478 In all free settling tests, the fluid is considered at rest condition, which
 479 may violate experimental conditions, e.g. dosing of particles' buoyancy-driven
 480 natural circulation. Also, flocs that interact with the fluid flow are always
 481 exposed to locally varying fluid shear rates, giving rise to additional torque
 482 on the floc, i.e. the Jeffery torque \mathbf{T}_J (Jeffery, 1922). In order to study the
 483 influence of the local shear rate on the floc dynamics, different linear shear rates
 484 $G = \partial u_x / \partial z$ are applied, resulting in different values of the shear Reynolds
 485 number $Re_G = d_k^2 G / \nu$. Shear rate values used in the numerical examples
 486 are typical for the sedimentation tank, see velocity profiles in Tarpagkou &

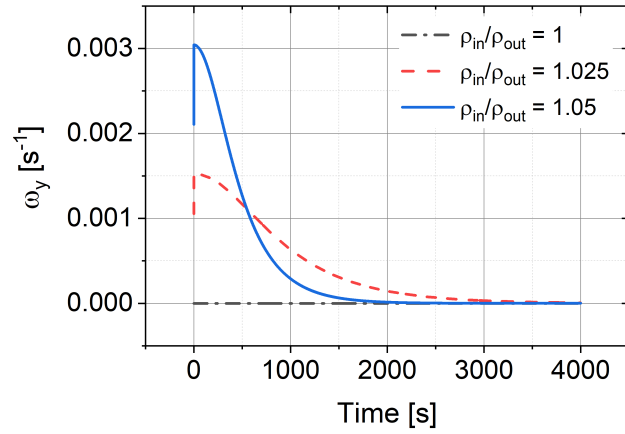


Figure 13: Time evolution of the angular velocity around y -axis of a single free-rotating sludge floc sedimented in stagnation flow field for different density ratios.

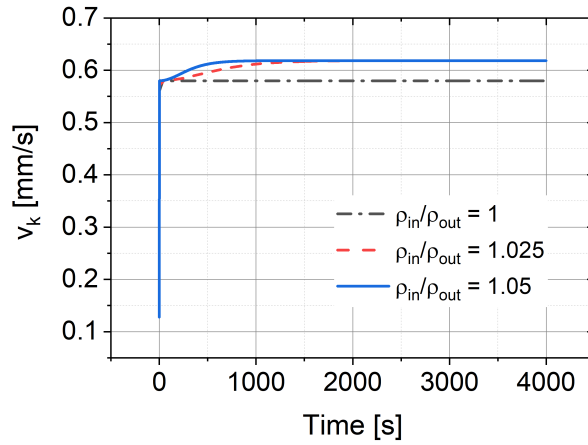


Figure 14: Time evolution of the settling velocity of a single free-rotating sludge floc sedimented in stagnation flow field for different density ratios.

487 Pantokratoras (2013), and range from 0.0012 s^{-1} to 0.006 s^{-1} .

488 In Figs. 15 and 16 the effect of different shear rates on the free settling
489 behaviour of the floc with $\rho_{in}/\rho_{out} = 1.05$ is presented. The action of Jeffery's
490 torque as a consequence of the shear rate leads to much stronger interaction
491 between the floc and the fluid, Cui et al. (2018a, 2019). The shear rate forces
492 the floc to rotate constantly in a clockwise direction around the y -axis, while the
493 gravitational torque acts in the clockwise or counterclockwise directions around
494 the y -axis, depending on the signum of the cross product between the position
495 vector of the barycentre and the direction of the gravity vector. The end effect
496 is either almost equilibrium of both torques (case of $Re_g = 0.0001$), or a larger
497 difference of both torques producing stronger oscillations in the rotation rate
498 (case of $Re_g = 0.0005$). In the case of $Re_g = 0.0005$, the free settling velocity
499 condition is never met and the tumbling motion is induced.

500 6. Comparison of the developed models with hard sphere models

501 Although sludge flocs are clearly porous objects, the hard sphere models are
502 currently used predominantly in computational studies of sedimentation. The
503 case of the dispersed solid phase in the form of sludge flocs is often treated as a
504 pseudo solid phase, whose influence on the fluid flow is accounted for by intro-
505 duction of the sludge viscosity, as well as additional interaction forces between
506 the liquid and solid phases. On the other hand, Lagrangian particle tracking
507 was applied in the CFD study of the sedimentation tank performance (Goula
508 et al., 2008), where the particle structure effect was accounted for by the ratio

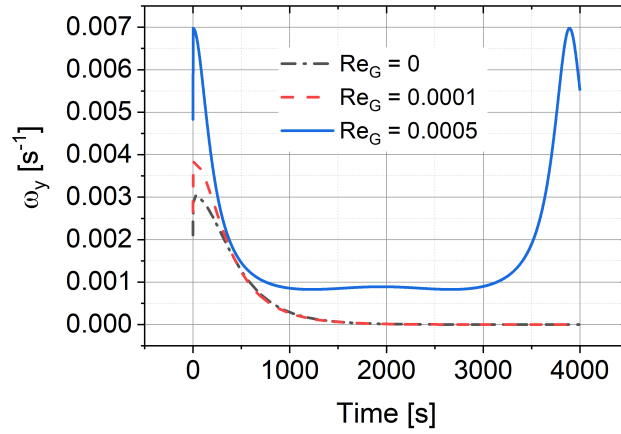


Figure 15: Time evolution of the angular velocity around y -axis of a single free-rotating sludge floc sedimented in stagnation flow field for different shear rates ($\rho_{in}/\rho_{out} = 1.05$).

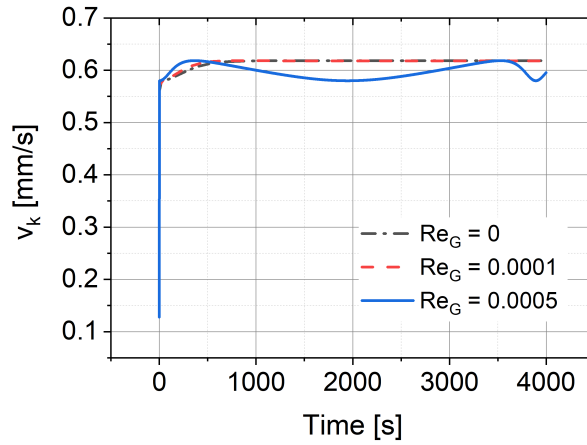


Figure 16: Time evolution of the settling velocity of a single free-rotating sludge floc sedimented in stagnation flow field for different shear rates ($\rho_{in}/\rho_{out} = 1.05$).

509 of the resistance experienced by a floc to that of an equivalent solid sphere (set
510 to 0.9), and setting the apparent density at 1066 kg/m^3 regardless of the par-
511 ticle size. In Spelman & Sansalone (2017) a hard sphere based unsteady CFD
512 simulation with Lagrangian particle tracking was used for computing particle
513 sequestration, with interesting results that the simulation results showed con-
514 sistently an over prediction of particles' sequestration compared with measured
515 results.

516 Since a hydrated sludge floc is essentially a gelatinous, coagulated material
517 (Lei & Ni, 2014), its hydrodynamic properties, that govern interaction with the
518 liquid phase, are different than that of a solid sphere, which is a standard rep-
519 resentation of a sludge floc. Using hard sphere models, that are available in all
520 vendor CFD codes, inevitably leads to modelling errors, especially if the density
521 of flocs of various sizes are set as a constant value. As an example, in Table
522 1 a Root Mean Square Deviation (RMSD), and its normalised form (NRMSD)
523 are presented, produced by implementing the different models for calculation
524 of the free settling velocity for the flocs from our experiment. Selected models
525 include the model of Morsi & Alexander (1972) and Clift et al. (1978), which
526 are a standard quadratic hard sphere model with Reynolds number dependent
527 drag coefficient effectively linking the linear drag dependence with quadratic
528 drag dependence region. The model used in Goula et al. (2008) builds on the
529 CFD code Fluent based Stokes particle drag model by applying a fixed value of
530 the ratio of the resistance experienced by a floc to that of an equivalent solid
531 sphere, set at 0.9. The model of Chien (1994) is another example of a hard

532 sphere model which additionally takes into account the sphericity of the floc. In
533 order to amplify the need to take into account the variation of the density val-
534 ues of a floc with regard to its size, two additional calculations were performed,
535 the first with a fixed floc density value of 1066 kg/m^3 , and the second with
536 the floc density value, calculated by Eq. (11), by using the porosity values of
537 Eq. (22) (results depicted in Fig. 17). From the results, it is evident that using
538 a constant value of floc density leads to large errors in calculating free settling
539 velocity, especially for larger values of floc diameters. If such parameters are
540 used in combination with standard CFD drag models in the CFD simulation to
541 compute sludge floc trajectories, there is a danger of overestimating the sepa-
542 ration efficiency of a tested clarifier design. When the size dependent porosity
543 value and, consequently, the density value of the floc are used, the results are
544 significantly better. Nevertheless, if floc permeability and shape are not taken
545 into account (hard sphere models), the RMSD and NRMSD are still signifi-
546 cantly larger compared with the results obtained by using the model developed
547 in this work. This is also evident from the comparison of the model results for
548 the variable density case, and the measured values of the velocities shown in
549 Fig. 18. As can be observed, the hard sphere models led to increased errors
550 with increasing floc size, even in the case of variable density, whereas the results
551 of the present model show a very good agreement in the entire range of floc
552 sizes.

553 It is not only important to have an accurate model for the calculation of
554 the free settling velocities and, hence, an accurate prediction of the sludge floc

Table 1: Root mean square deviation (RMSD) and normalized RMSD (NRMSD) of sedimentation velocities by different models.

	Present model	Goula et al. (2008)	Clift et al. (1978)	Chien (1994)
RMSD [m/s] with $\rho_k = 1066 \text{ kg}/m^3$	1.12E-05	4.41E-02	1.68E-02	2.13E-02
NRMSD [-] with $\rho_k = 1066 \text{ kg}/m^3$	0.0106	41.8103	15.9533	20.1578
RMSD [m/s] with ρ_k by Eq.(11)	1.12E-05	1.36E-04	8.55E-05	1.40E-04
NRMSD [-] with ρ_k by Eq.(11)	0.0106	0.1287	0.0811	0.1327

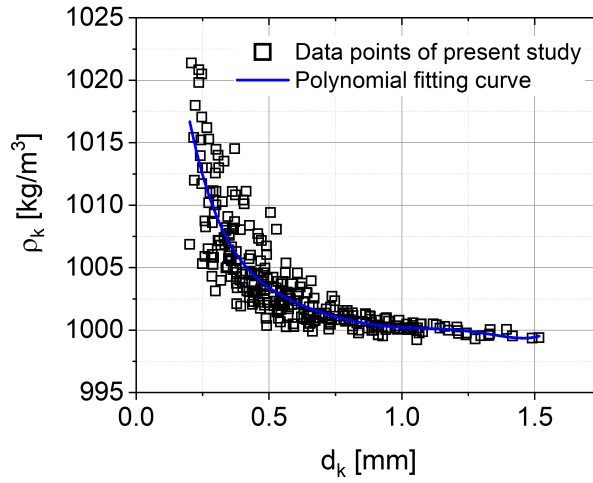


Figure 17: Sludge floc density as a function of d_k .

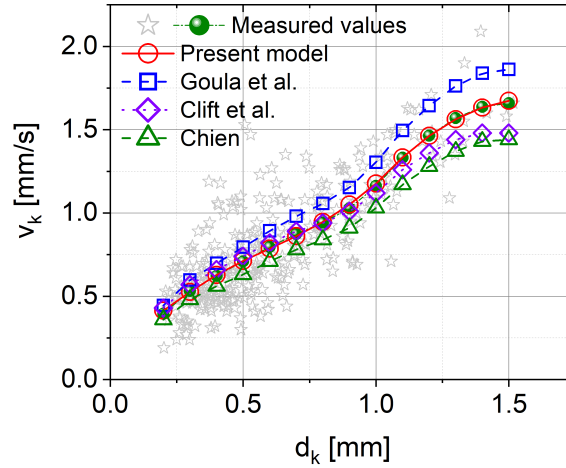


Figure 18: Comparison of calculated free settling velocities using the developed floc density vs. d_k function for different models: Goula et al. (2008), Clift et al. (1978), Chien (1994) vs. results of the present model.

555 trajectories. The present model can also be used instead of the hard sphere
 556 models as a model for a more accurate specification of the interaction force
 557 between the phases in the two-way coupling regime, with implementation of the
 558 models Eq. (12) and Eq. (13) as the main contributions to the interaction force
 559 in the liquid phase.

560 7. Discussion

561 The computational models developed in this work allow a direct implemen-
 562 tation in the CFD with Lagrangian model for tracking the dispersed phase par-
 563 ticles (sludge flocs) through the flow field of the continuous liquid phase, which
 564 is a relevant topic in sedimentation tanks' design (Tarpagkou & Pantokratoras,

2013; Al-Sammarraee et al., 2009). The sludge floc trajectories are computed evaluating the kinematics relation (1), translational momentum relation (2) as well as angular momentum relation (3), which, together with models (12), (13) and (14), allow computation of trajectories for the case of porous permeable sludge flocs of nonspherical shape. Computing particle trajectories, their rotational rates, as well as the influence of fluid velocity gradients, are important in CFD modelling of flocculation in water treatment plants (Bridgeman et al., 2009). The advanced particle tracking model can also be used in assessing the Residence Time Distribution (RTD) of the porous flocs in a sedimentation tank, allowing us to obtain RTDs for a wide range of the sludge floc parameters. Therefore, for assessing RTDs of the smaller particles that, typically, interact with the liquid phase in the dilute flow regime, the developed Lagrangian sludge floc model, together with an LES based flow simulation, would be a method of choice.

As stated in Karpinska & Bridgeman (2016) the most critical issue in modeling of clarifiers is linked to the unpredictability of activated sludge settlability, presenting a challenge for the CFD models. As the sedimentation tanks are considered as a bottle neck limiting the capacity of the wastewater treatment plant, and the CFD based design with its scale-up capabilities is becoming more and more a method of choice for the designers, one has to be careful in sedimentation modelling when using the standard particle models within the multiphase CFD codes. Within the classical solid sphere settling models, a danger of overestimating the settling velocity of flocs when floc size independent density values are

588 used, can lead to computation of unrealistic flocculation trajectories, and overestimation
589 of settling effectiveness under chosen tank operating conditions. The modeling
590 strategy presented in this work, can help improve the accuracy of particle-fluid
591 interaction models by considering the size dependent density, particle shape,
592 as well as nonhomogeneous mass distribution, and can, therefore, improve the
593 engineering design of clarifiers by means of CFD.

594 The presented particle models are by no means applicable only to modeling
595 of sludge floc sedimentation processes. An interesting case arises in treatment
596 of low-strength wastewaters in expanded sludge bed reactors (EGSB), where
597 active biomass in the form of granules is used to reduce the polluting powers
598 of wastewaters, (McHugh et al., 2003). In such systems, determination of set-
599 tling characteristics of granules, consisting of a permeable solid part, as well as
600 a gas phase (Pan et al., 2016), which can also lead to nonhomogeneous mass
601 distribution, is crucial for design and operation, especially for setting the higher
602 superficial velocities required in an EGSB reactor while still preventing the gran-
603 ule floatation (Chen et al., 2010). Another examples include floatation of sludge
604 flocs in pressurised floatation tanks, where the sludge flocs merge with micro
605 bubbles from the oversaturated wastewater to form particles with possible non-
606 homogeneous mass distribution, and the problem of washout of floating sludge
607 particles in Aerobic Granular Sludge reactors due to degasification of nitrogen
608 gas (van Dijk et al., 2018). In the latter cases, the simplified nonhomogeneous
609 mass distribution model Eqs. (17) and (18) could easily be implemented. Addi-
610 tionally, the presented model is by no means limited to nonhomogeneous porous

611 particles; it can also be applied in the case of transport of bottom heavy cells
612 like algae, that are affected by shear flow and viscous and gravitational torques
613 (Croze et al., 2013).

614 One of the main limitations in practical use of the Lagrangian models is
615 in its computational cost. As the particle dynamics is an ideally paralleliz-
616 able computational step within a CFD framework, with the wide availability of
617 desktop multiprocessor computers, the particle tracking algorithm can be run
618 extremely efficiently in parallel, especially when used with CPU-GPU process-
619 ing systems (Sweet et al., 2018). With regard to the developed models in this
620 work, the computational cost would be roughly the same as with the standard
621 hard sphere models if rotational dynamics would not be included, and with
622 rotational dynamics this would then include evaluating six additional algebraic
623 expressions for advancing the solutions of the ordinary differential equations per
624 each particle, which is, computationally, not expensive.

625 8. Conclusions

626 One of the critical parts in the design of the settling tank in waste-water
627 treatment is to prevent the sludge flocs from exiting the tank along with the
628 clean liquid. A detailed determination of parameters, influencing the settling
629 characteristics of sludge flocs, is typically achieved from data analysis from the
630 free settling experiments. The results of such analysis can be used in a CFD-
631 based modelling of the fluid phase and the accompanying particle tracking of
632 the sludge flocs within the fluid flow, which can give a valuable insight into the

633 performance of the settling tank. In this respect, the main findings are:

- 634 • The settling characteristics of sludge flocs depend on sludge floc proper-
635 ties, with the main influences the floc density, hydraulic permeability and
636 shape.
- 637 • Density and permeability values of the sludge floc depend on the size of
638 the floc, and should not be taken as constant values in computational
639 procedures.
- 640 • The velocity of a floc in a settling process depends also on flocs' orienta-
641 tion, a consequence of its nonspherical shape as well as nonhomogeneous
642 mass distribution, therefore rotational dynamics of the floc should be taken
643 into account.
- 644 • The derived Lagrangian model is applicable both as the Lagrangian par-
645 ticle solver in the CFD framework and, in a simplified form, as the com-
646 putational model for the determination of sludge floc porosity and related
647 hydrodynamic properties based on data from the free settling tests.

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650

651 **Appendix A**

Table 2: Coefficients of polynomial fitting curves for different parameters.

$\epsilon(d_k)$	c_0	c_1	c_2	c_3	c_4	c_5	c_6
$v_{k,exp}, d_k \leq 1 \text{ mm}$	2.86664E-04	-3.13690E-01	7.83167E+03	-1.91751E+07	2.10618E+10	-1.03534E+13	1.83831E+15
$v_{k,exp}, d_k > 1 \text{ mm}$	-2.03000E-03	4.67862E+00	-1.47325E+03	0	0	0	0
$\lambda = 1.3$							
$\epsilon_{sim}, d_k \leq 1 \text{ mm}$	2.87300E-02	5.58483E+03	-1.51475E+07	2.25625E+10	-1.88211E+13	8.21040E+15	-1.45401E+18
$\epsilon_{sim}, d_k > 1 \text{ mm}$	9.47470E-01	8.38165E+00	7.58728E+03	0	0	0	0
$\Omega_{sim}, d_k \leq 1 \text{ mm}$	9.98580E-01	-1.36895E+01	1.56698E+04	5.84723E+06	-2.23552E+10	1.55827E+13	-3.66385E+15
$\Omega_{sim}, d_k > 1 \text{ mm}$	9.91290E-01	7.19899E+00	-2.49872E+03	0	0	0	0
$\lambda = 1.38$							
$\epsilon_{sim}, d_k \leq 1 \text{ mm}$	2.14400E-02	5.62763E+03	-1.52652E+07	2.27393E+10	-1.89688E+13	8.27464E+15	-1.46532E+18
$\epsilon_{sim}, d_k > 1 \text{ mm}$	9.47620E-01	7.56147E+00	7.99765E+03	0	0	0	0
$\Omega_{sim}, d_k \leq 1 \text{ mm}$	9.98670E-01	-1.41146E+01	1.69982E+04	3.68387E+06	-2.04830E+10	1.47650E+13	-3.52216E+15
$\Omega_{sim}, d_k > 1 \text{ mm}$	9.91280E-01	7.25300E+00	-2.52251E+03	0	0	0	0
$\lambda = 1.5$							
$\epsilon_{sim}, d_k \leq 1 \text{ mm}$	1.06800E-02	5.68866E+03	-1.54291E+07	2.29816E+10	-1.91702E+13	8.36239E+15	-1.48087E+18
$\epsilon_{sim}, d_k > 1 \text{ mm}$	9.46610E-01	8.34869E+00	7.80724E+03	0	0	0	0
$\Omega_{sim}, d_k \leq 1 \text{ mm}$	9.98750E-01	-1.43650E+01	1.75976E+04	2.86071E+06	-1.98400E+10	1.44907E+13	-3.47189E+15
$\Omega_{sim}, d_k > 1 \text{ mm}$	9.91390E-01	7.12173E+00	-2.47449E+03	0	0	0	0

652 Appendix B

Notation. *Tensors* of various order are expressed in bold italic font, i.e. a first-order tensor (vector) and a second-order tensor are denoted by \mathbf{A} and \mathbf{B} , respectively. In a Cartesian coordinate system with base vectors \mathbf{e}_i ($i = x, y, z$) they have the coordinate representation $\mathbf{A} = A_i \mathbf{e}_i$ and $\mathbf{B} = B_{ij} \mathbf{e}_i \otimes \mathbf{e}_j$, respectively, whereby Einstein's summation convention applies for repeated indices. A_i and B_{ij} are the *coefficients* of \mathbf{A} and \mathbf{B} , respectively, in the chosen coordinate system \mathbf{e}_i . They may be arranged into *coefficient matrices*

$$\mathbf{A} := \begin{bmatrix} A_x \\ A_y \\ A_z \end{bmatrix} \quad \text{and} \quad \mathbf{B} := \begin{bmatrix} B_{xx} & B_{xy} & B_{xz} \\ B_{yx} & B_{yy} & B_{yz} \\ B_{zx} & B_{zy} & B_{zz} \end{bmatrix}$$

whereby bold non-italic font is used for coefficient matrices. Indeed \mathbf{A} is a column matrix, the superscript T denotes transposition so that $\mathbf{A}^T = [A_x, A_y, A_z]$ (a row matrix). Furthermore, we restrict ourselves to the use of (local) Cartesian coordinate systems \mathbf{e}_i and \mathbf{e}'_i that are related via rotation with rotation matrix \mathbf{V} (or likewise by rotation tensor \mathbf{Q}), i.e.

$$\mathbf{e}'_i = V_{ik} \mathbf{e}_k = [V_{lk} \mathbf{e}_k \otimes \mathbf{e}_l] \cdot \mathbf{e}_i =: \mathbf{Q} \cdot \mathbf{e}_i \quad \text{with} \quad \mathbf{Q} = \mathbf{V}^T.$$

Without loss of generality we will thus only use the corresponding matrix arrangements of tensor coefficients, whereby upon rotation of the coordinate system \mathbf{e}_i , the corresponding coefficient matrices transform as

$$\mathbf{A}' = \mathbf{V} \mathbf{A} \quad \text{and} \quad \mathbf{B}' = \mathbf{V} \mathbf{B} \mathbf{V}^T.$$

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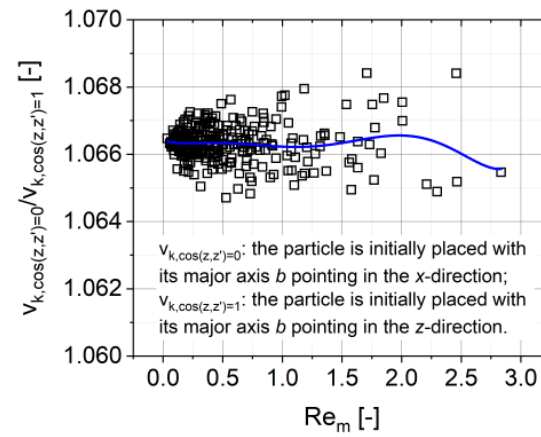
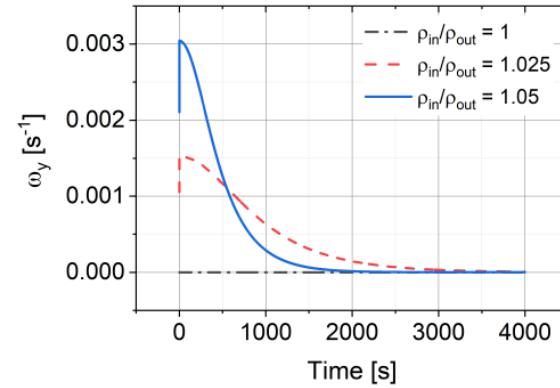
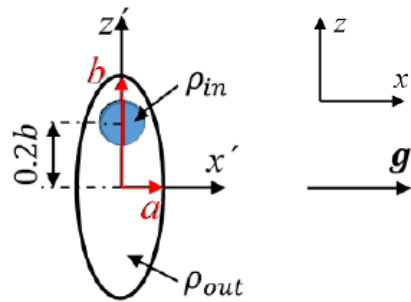
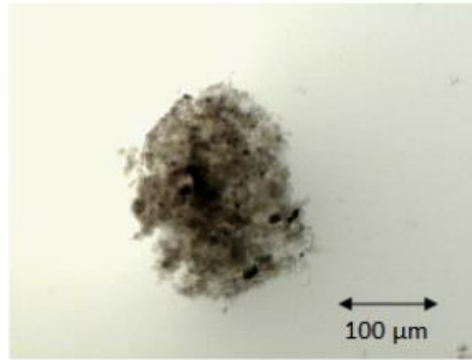
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- A Lagrangian model for tracking of nonspherical porous flocs is derived.
- The floc's nonhomogeneous mass distribution is accounted for.
- The model is validated on settling characteristics of 306 wastewater porous flocs.
- The model can be applied to settling of flocs in WTP and bioreactors.
- The Lagrangian model is ready for the use in the CFD of dispersed two phase flows.

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